Two Diffusion Kurtosis Imaging Post-Processing Methods for Differentiating Glioma Grades, *IDH* Mutation Statuses, and Heterogeneity

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Abstract

Background: To compare the application of two DKI post-processing methods that DKE software and DKI histogram analysis in glioma grading, *IDH* mutation typing, and evaluation of tumor heterogeneity. **Methods:** Patients who underwent surgery and were pathologically diagnosed with glioma after MR DKI scan. DKE software was used to calculate diffusion parameters, including fractional anisotropy, mean kurtosis (MK), radial kurtosis, and axial kurtosis. Histogram parameters were calculated, including minimum, maximum, mean, standard deviation, percentile values (25th, 50th, 75th, 95th), kurtosis, and skewness of Kapp and Dapp. The ROIs of the two post-processing methods were consistently and manually selected in continuous solid tumor regions. According to the result of Kolmogorov-Smirnov (K-S) test, Independent-samples T test or Mann-Whitney-Wilcoxon test was used to distinguish glioma grads. The parameters with the best percentile were identified by analysis of the area under the curve (AUC) of the receiver operating characteristic (ROC) analysis. **Results:** Seventy-three patients with glioma were observed, including 21 with low-grade gliomas (WHOII) and 52 with high-grade gliomas (WHO III, n = 13; WHO IV, n = 39), 38 of whom had *IDH* mutation status. There were significant differences between the high- and low-grade glioma groups regarding the maximum, mean, standard deviation, C75, and C95 of the Kapp values and the minimum, mean, C25, C50, C75, C95, and skewness of the Dapp values. The MK values were significantly different among the WHO II, III, and IV grades. MK, mean Kapp, and C75 and C95 of the Kapp could be used to predict *IDH* mutations in patients with glioma. **Conclusions:** Several quantitative DKI parameters obtained from the DKE software and histogram analysis could be used for glioma grading and predicting *IDH* mutations. However, DKI histogram analysis was useful for glioma heterogeneity.

Keywords

Diffusion Magnetic Resonance Imaging, Heterogeneity, Glioma, Isocitrate Dehydrogenase

1. Background

Cerebral gliomas are the most common malignant tumors of the CNS. With genotyping was included in glioma diagnosis, isocitrate dehydrogenase (*IDH*) as an important maker has been focused, but there was no change in

glioma grading [1]. Patients with high-grade glioma (WHO III-IV) usually have a poorer prognosis and require more prolonged treatment than those with low-grade glioma (II) [2-4]. With the increase of tumor grade, the tumor heterogeneity increase, which includes cellular proliferation, necrosis, differences in blood flow and angiogenesis, cellular metabolism, hypoxia and so on, and it has also been postulated that increased image heterogeneity [5-6].

As is known, MRI is the preferred imaging for glioma diagnosis. Among MRI sequences, the non-Gaussian diffusion model-based diffusion kurtosis imaging (DKI) [7], which been known as can reflect the difference of water diffusion of microstructures [8]. Diffusional Kurtosis Estimator (DKE) [9] is one of common DKI postprocess, which could got mean kurtosis (MK), axial kurtosis (AK) and radial kurtosis (RK) to reflect the diffusion kurtosis of the tissue [10]. Current research has shown that the MK value is the most valuable DKI parameter for glioma grading [11], and a marker for predicting survival and the IDH status in glioma research [12-13]. Histogram analysis which based on grey level frequency distribution could provide more information regarding quantitative parameters image, include percentile, mean, minimum and maximum intensity, standard deviation, skewness, and kurtosis. As one of textural features, histogram could provide a measure of intralesional heterogeneity [5, 14-15]. Currently, there are many histogram studies on glioma [16-18], and DKI histogram analysis is becoming a hotspot [19-24]. The aim of this study was to compare the application of two DKI post-processing methods that DKE software and DKI histogram analysis in glioma grading, *IDH* mutation typing, and evaluation of tumor heterogeneity.

2. Methods

2.1. General information

DKI was collected from September 2016 to September 2017. Before scanning, consent was obtained from the patients or their guardians. A total of 150 patients aged 14 to 70-year-old with single space-occupying lesions were recruited. Seventy-three patients had a surgical pathological diagnosis of glioma, including WHO II ($n = 21$), WHO III ($n = 13$), and WHO IV ($n = 39$), among whom 38 had IDH mutation status (IDH wildtype, $n = 21$: IDH mutation, $n = 17$).

2.2. Equipment

A Siemens Prisma 3.0 T (Prisma Siemens Healthcare, Erlangen, Germany) MRI scanner with a 64-channel head/neck coil was used. Conventional scans, enhanced scans, and DKI sequences that lasted a total of 30 minutes were performed on patients. The various sequence parameters are as follows: Axial and sagittal T_1 -weighted imaging: TR = 250 ms, TE = 2.46 ms; Axial T_2 -weighted imaging: TR = 4,000 ms, TE = 95 ms; both sequences had similar FOV = 230 mm $\times$ 230 mm, number of slices = 20, slice thickness = 5.0 mm, matrix = 256 $\times$ 256. A spin-echo echoplanar imaging sequence was used for the acquisition of DKI (TR = 3,500 ms, TE = 78 ms: $b = 0, 500, 1,000, 1,500, 2,000, 2,500$; diffusion direction = 30, slice thickness = 5.0 mm, number of slices = 20, FOV = 220 mm $\times$ 220 mm, matrix = 384 $\times$ 384, scan time = 9 minutes and 1 second). Contrast-enhanced scan: a high-pressure injector was used for elbow vein injection of gadopentetate dimeglumine (Magnevist, Bayer Schering Pharma AG, Berlin, Germany) at a dose of 0.1 mmol/kg bodyweight and an injection speed of 2.0 ml/s. The T_1 volume interpolated breath-hold sequence (TR = 630 ms, TE = 9.3 ms) was used for dynamic enhanced acquisition in the axial plane for six cycles. The sagittal plane 3D- T_1 MPRAGE (magnetization-prepared rapid gradient echo) sequence was used for the delayed enhanced scan, with TR = 2,300 ms, TE = 2.32 ms, slice thickness = 0.9 mm, number of slices = 179, FOV = 240 mm $\times$ 240 mm, matrix = 256 $\times$ 256, and a scan time of 5 minutes and 21 seconds, and axial and coronal post-contrast T_1 MPRAGE images were reconstructed to include the whole brain with section thickness = 5 mm and intersection gap = 1 mm.

2.3. Image Analysis

The raw DKI images were imported into the DKE [9] software for preprocessing to obtain MK, RK, AK, mean diffusivity (MD), and fractional anisotropy (FA) graphs. Under the guidance of two experienced magnetic resonance physicians and by using the T_2 WI and T_1 contrast-enhanced scan images as reference, we manually selected ROIs in continuous solid tumor regions in the MRICron software by avoiding cystic changes, bleeding, necrosis, calcifications, and regions close to the blood vessels and cerebrospinal fluid. The entire solid tumor component of the glioma was selected as ROI (Figure 1) and the mean values of the corresponding parameters were calculated. At the same time, contralateral normal-appearing white matter (NAWM) from the same slice as the tumor ROI was selected and the parameters were compared to obtain corrected MK, RK, AK, FA, and MD values.

Two radiologists with 15 years of experience in head and neck MRI (JB and GA), who were blinded to clinical information and histopathological results, delineated the ROIs of all study subjects.

DWI obtained from the DKI scans were imported into the preset MATLAB platform for histogram analysis. The ROIs selected by histogram analysis were consistent with those drawn in the MRICron software (Figure 1) to obtain the minimum, maximum, mean, skewness coefficient, kurtosis coefficient, and 25th, 50th, 75th, and 95th percentiles for the Kapp and Dapp [25].

Figure 1. A 51-year old man with glioblastoma, IDH wild type, Whose DKI parameters and ROI site.

2.4. Statistical Analysis

Statistical Package for the Social Sciences, Version 21.0 (SPSS, IBM, Chicago, USA) was used for statistical analysis in this study, and $\alpha = 0.05$ was used as the test criterion. The MK, AK, RK, FA, and MD values for WHO Grade II, III, and IV gliomas, and the minimum, maximum, mean, skewness coefficient, kurtosis coefficient, and the 25th, 50th, 75th, and 95th percentiles of the Kapp and Dapp values obtained from the MATLAB histogram analysis are expressed as mean $\pm$ standard deviation ($\bar{x} \pm s$) for inter-group comparison of differences. When required, normalizing transformation (Bloom normalizing transformation) was carried out so that the data conformed to the requirements of the parametric tests. One-way ANOVA and the Least-Square Differential test were used for pairwise inter-group comparison. ROC curves were plotted, the AUC-ROC was calculated, and the threshold values for valid parameters were predicted.

3. Results

All the gliomas in this study located in the cerebrum, and the maximum tumor diameter ranged from 20 mm to 81 mm. The results obtained from the two DKI post-processing methods are as follows.

Table 1 shows the distribution of various DKI parameters that were obtained using the DKE software for glioma grades. When DKI parameters were compared pairwise based on glioma grade (WHO II, III, and IV), there were significant differences in MK values among the different grades ($P < 0.001$) (Table 2). The differences in the AK value between WHO grades II and IV and between WHO III and IV were statistically significant ($P < 0.05$); however, the difference between WHO II and III was not significant ($P = 0.832$). There were no significant differences in RK, MD, and FA values in the pairwise comparison of various glioma grades ($P > 0.05$).

The minimum, maximum, mean, skewness, kurtosis, and 25th, 50th, 75th, and 95th percentiles of the Kapp and Dapp values were obtained through histogram analysis. When gliomas were separated into two groups, including high-grade (WHO III and IV) and low-grade (WHO II) glioma, the differences in the maximum, mean, standard deviation, 75th percentile (C75), and 95th percentile (C95) Kapp values and the minimum, mean, skewness, and the 25th percentile (C25), 50th percentile (C50), C75, and C95 of the Dapp values showed statistically significant differences ($P < 0.05$). When a pairwise comparison of WHO II, III, and IV gliomas was performed, the aforementioned Kapp parameters showed statistically significant differences between WHO II and III, and WHO II and IV ($P < 0.05$); however, there were no significant differences between WHO III and IV. The aforementioned

Dapp parameters showed significant differences between WHO II and IV ($P < 0.01$): however, the minimum and C25 Dapp values showed significant differences between WHO II and III ($P = 0.049$). There were no significant differences between WHO III and IV in the Kapp and Dapp histogram parameters. As seen in Table 3 and Table 4 and the ROC curves (Figure 2), the best Kapp histogram parameter was C75, and the best Dapp histogram parameter was the minimum Dapp.

Table 1. The parameters of DKI calculated by DKE in glioma WHOII-IV

Parameter($\bar{x} \pm s$)	WHO II	WHO III	WHO IV
MK	0.422±0.12	0.724±0.08	0.966±0.11
AK	0.771±0.24	0.774±0.24	0.932±0.16
RK	0.673±0.37	0.699±0.099	0.704±0.71
MD ($\times 10^{-3} \text{mm}^2/\text{s}$)	0.63±0.18	0.58±0.1	0.56±0.12
FA	0.22±0.11	0.17±0.09	0.20±0.08

Table 2. The MK to differentiate glioma grades

	WHO II and III	WHO III and IV	WHO II and IV
T value (t)	8.05	7.17	17.71
P value*	< 0.001	< 0.001	< 0.001
Cut-off value	0.587	0.838	0.665
Sensitivity	100%	92.3%	100%
Specificity	95.2%	92.3%	100%
AUC	0.996	0.953	1.0

*P value < 0.05 as the inspection standard.

Table 3. Histogram analysis parameters of DKI in glioma WHOII-IV

Parameter ($\bar{x} \pm s$)	WHO II	WHO III	WHO IV
Kapp max	4.37±0.2	7.76±4.85	10.65±2.78
Kapp mean	0.42±0.19	0.657±0.29	0.71±0.37
Kapp SD	0.825±0.33	1.242±0.56	1.389±1.3
Kapp C75	0.426±0.42	0.798±0.43	1.01±0.56
Kapp C95	2.282±0.85	3.51±1.62	3.41±1.57
Dapp min*	0.734±0.21	0.579±0.2	0.524±0.16
Dapp mean*	1.0±0.3	0.859±0.24	0.787±0.24
Dapp skwness	0.196±0.884	0.448±1.17	0.914±0.935
Dapp C25*	0.966±0.27	0.791±0.237	0.699±0.234
Dapp C50*	1.047±0.3	0.857±0.24	0.771±0.26
Dapp C75*	1.137±0.35	0.923±0.244	0.855±0.27
Dapp C95*	1.256±0.356	1.38±0.256	1.027±0.3

*Dapp value unit($\times 10^{-3} \text{mm}^2/\text{s}$).

Table 4. The histogram analysis to differentiate glioma grades

	Kapp max	Kapp meam	Kapp SD	Kapp C75	Kapp C95	Dapp min	Dapp mean	Dapp skewness	Dapp C25	Dapp C50	Dapp C75	Dapp C95
Cut-off value	4.49	0.44	0.87	0.52	2.21	0.614*	0.889*	-0.4	0.81*	0.87*	0.92*	1.06*
Sensitivity	77.6%	85.7%	79.6%	87.8%	83.7	80%	80%	80%	80%	80%	80%	80%
Specificity	68%	72%	72%	72%	60%	81.6%	77.6%	10.2%	81.6%	75%	71.4%	57.1%
AUC	0.783	0.811	0.781	0.819	0.786	0.826	0.8	0.824	0.799	0.78	0.724	0.289

*Dapp value unit ($\times 10^{-3} \text{mm}^2/\text{s}$).

Figure 2. ROC Curve 1 is Kapp useful value for identifying high- and low-grade glioma. ROC Curve 2 is Dapp useful value for identifying high- and low-grade glioma. ROC Curve 3 is Kapp useful value for identifying IDH mutation type of glioma. ROC Curve 4 is MK and KA for identifying IDH mutation type of glioma.

Regarding *IDH* mutation status, 17 cases of *IDH* mutant glioma (WHO II $n = 7$, WHO III $n = 8$, WHO IV $n = 2$) and 21 cases of wildtype *IDH* glioma (WHO II $n = 3$, WHO III $n = 1$, WHO IV $n = 17$) could be accurately identified by MK (0.65 ± 0.22 , $P = 0.004$, $AUC = 0.79$, cut-off value = 0.71, sensitivity = 81%, specificity = 76.5%), AK (0.73 ± 0.25 , $P = 0.020$, $AUC = 0.67$, cut-off value = 0.75, sensitivity = 81%, specificity = 47.1%), Kapp mean (0.45 ± 0.13 , $P = 0.006$, $AUC = 0.80$, cut-off value = 0.49, sensitivity = 81%, specificity = 65%), C75 Kapp (0.48 ± 0.29 , $P < 0.001$, $AUC = 0.85$, cut-off value = 0.67, sensitivity = 81%, specificity = 70.6%), C95 Kapp (2.48 ± 0.71 , $P = 0.017$, $AUC = 0.73$, cut-off value = 2.39, sensitivity = 76.2%, specificity = 52.9%), but not by any Dapp, FA, or MD value.

4. Discussion

The diffusion of water molecules in human brain microstructure is affected by various cell membranes, proteins, and other factors [26-27]. Tumor tissue because of more nuclear atypia, higher cellular pleomorphism, more necrosis, and more microvascular proliferation [28] with a more complex diffusion status. As a non-Gaussianity diffusion model DKI is thought to be present the microstructure of the tissue and close to the true state of tissue

diffusion [29], and which parameters could be reflect the tissue diffusion quantitatively.

The results of our study demonstrated that MK could be used to differentiate WHO II, III, and IV gliomas, which is consistent with the results of other studies [8, 30-33]. Furthermore, the differences in AK values between WHO IV and II and between WHO IV and III were statistically significant, but those between WHO II and III were not significantly different. Consistent with the work of Zhao *et al.* [13], our results showed that MK and AK were also useful in identifying IDH mutation status. However, there were no significant differences in RK in our study between glioma grades or IDH mutation statuses, which is contrary to the results of other studies [13, 30]. AK reflects the axonal integrity and density of fiber bundles and RK is assumed to reflect myelin integrity and axonal density [34]. However, MK is a measure of the overall kurtosis, which is computed as the average kurtosis along all uniformly distributed diffusion directions [34]. Gliomas grow and invade by destroying the axonal and fiber bundles, causing diffusion through complex tumor microstructures in a disorganized manner, which may cause more substantial changes in MK and AK than in RK.

In our study, the MD and FA values could not be used for glioma grading and identifying IDH mutation status. Currently, studies regarding MD and FA in grading and identifying IDH mutation status in glioma are controversial [12-13, 30, 35]. Therefore, the roles that MD and FA play in glioma require further investigation.

Although the Dapp and Kapp values obtained from the histogram analysis could not be used for the comparison between WHO III and IV gliomas, multiple Dapp and Kapp parameters showed statistically significant differences when comparing the high- and low-grade groups, consistent with the results of Hempel [19]. Furthermore, mean Kapp, C75 Kapp, and C95 Kapp could be used to predict IDH mutation status because the accuracy is higher than that of MK. Moreover, the Kapp standard deviation and Dapp skewness coefficient showed statistically significant differences between the high- and low-grade groups, demonstrating that these two markers have some use in determining the heterogeneity of consolidated tumors.

There are some limitations to our study. First, the sample size of our study was relatively small, especially the IDH gene data: the predictions of IDH mutation status could not exclude the influence of glioma grade. Second, several studies have demonstrated the utility of histogram analysis of the ADC in glioma grading, however, this parameter was not included in our analyses.

In conclusion, many of the DKI quantitative parameters obtained from the DKE software and histogram analysis could be used for the grading of gliomas (but identified the WHO III and IV grade glioma) and prediction of IDH mutation status. However, when come to glioma grading DKE software maybe batter than histogram, because its multiple parameters and higher diagnostic performance. Histogram is better at prediction of glioma IDH mutation status and display the heterogeneity.

Abbreviations

DKI: diffusion kurtosis imaging; DKE: Diffusional Kurtosis Estimator; MK: mean kurtosis; AK: axial kurtosis; RK: radial kurtosis; IDH: isocitrate dehydrogenase; AUC: area under the curve; ROC: receiver operating characteristic; MD: mean diffusivity; FA: fractional anisotropy; CNS: Central Nervous System; WHO: World Health Organization; Kapp: apparent diffusional kurtosis; Dapp: diffusion coefficient.

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